

# Sector



**PATENT APPLICATION**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Liu et al.

Serial No.: 09/467,551

Filed: 12/10/99

For: "Internet Settlement System"

Att. Docket No.: AIMN-01-006

Art Unit: not yet assigned

Examiner: not yet assigned

**Box Missing Parts**  
**Assistant Commissioner for Patents**  
**Washington, D.C. 20231**

**TRANSMITTAL OF MISSING PARTS**

Sir:

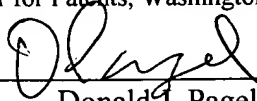
Transmitted herewith are the following items:

- 1 page of Form PTO-1533
- 1 page of Petition for Extension of Time
- 3 pages of Assignment and Cover Sheet
- 1 page of change of correspondence address
- 1 postcard receipt
- 1 check in the amount of \$1040.00

**CERTIFICATE OF MAILING (37 CFR 1.8a)**

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Box Missing Parts, Assistant Commissioner for Patents, Washington, D.C. 20231.

Date: April 27, 2000

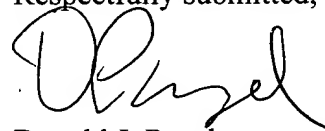
  
Donald J. Pagel

## FILING FEE CALCULATION

Number of claims filed	Number of extra claims	Rate for extra claims	Basic Fee = \$760.00
total claims 17 - 20 =	0 X	\$ 18.00 =	0.00
independent claims 3 - 3 =	0 X	\$ 78.00 =	0.00

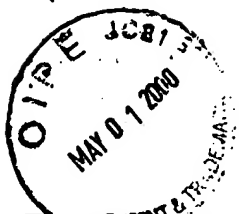
Filing fee subtotal \$ 760.00  
Missing parts surcharge 130.00  
One Month extension of time 110.00  
Assignment recording fee 40.00  
Total Fee Enclosed \$ 1040.00

Respectfully submitted,



Donald J. Pagel  
Reg. No. 32,832

April 27, 2000  
Hopkins & Carley  
P.O. Box 1469  
San Jose, CA 95109-1469  
(408) 995-0881



**UNITED STATES DEPARTMENT OF COMMERCE**  
**Patent and Trademark Office**  
 Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
 Washington, D.C. 20231

#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/467,551	12/10/99 LIU	L	AIMN-01-006

0212/0207

DONALD J PAGEL JR  
 HOPKINS & CARLEY  
 TEN ALMADEN BOULEVARD  
 8TH FLOOR  
 SAN JOSE CA 95113-2228

2768

DATE MAILED: 02/07/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 840

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☐ 3. The oath or declaration:

- ☐ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

Customer Service Center  
 Initial Patent Examination Division (703) 308-1202

0000093 09467551

05/03/2000 1612AW

760.00 OP  
130.00 OP01 FC:101  
02 FC:105